CIB BANK
Intesa Sanpaolo Group

Client ID:	
Name:	
Contract ID:	
Date of printing:	
Page no. / client ID:	
Print ID:	

FATCA SELF-CERTIFICATION FOR INDIVIDUALS

I –GENERAL INFORMATION

An Intergovernmental Agreement between Hungary and the USA, to improve international tax compliance and to implement FATCA, has been signed on February 4, 2014. This agreement was ratified with the Act XIX of 2014 (the FATCA Act). Based on the FATCA Act, Hungarian Financial Institutions shall identify their Specified United States Person clients (residency test). By performing this test, Hungarian Financial Institutions shall identify those clients, who are Account Holders of any US Reportable Account. The FATCA Act requires the Hungarian financial institutions to identify and report to the National Tax and Customs Administration (and through this to the US tax authority – the IRS) the Specified US Persons and their accounts who are Account Holders of U.S. Reportable Accounts.

The FATCA Self-Certification and the provision of integrative information are mandatory by the FATCA Act. The modification of the provided self-certification may be initiated at any time.

You may find detailed information on FATCA relevant definitions at our www.cib.hu/fatca website or in any of our branches.

II - CLIENT PERSONAL DATA AND TAX RESIDENCY DECLARATION

Surname: _____

Forename: _____

Birthplace (Country): _____

Citizenship: _____

Further Citizenships: _____

Residence (Country): _____

I declare:

I am a Tax Resident of the United States ☐ Yes ☐ No

to run the activity of registered dealer or broker in the United States ("other than Specified US Person") ☐ Yes ☐ No

and, in case of United States Tax Residency, I provide my:

United States Tax Identification Number (TIN): ……..

and, if I am a US tax resident:

Additional tax residency _____

Type, number and expiry date of documents evidencing additional tax residency _____

I have a tax number in the country of additional tax residency ☐ Yes ☐ No

My tax number in the country of additional tax residency* (if yes) ……..……..

If no answer, please explain the reason for the absence of a tax number

A) The country of additional tax residency does not issue a tax number ☐

B) The country of additional tax residency issues a tax identification number on request. I declare that I have not requested a tax number. ☐

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Page no. / client ID:	
Print ID:	

** to be completed only if the country of additional tax residence is not Hungary*

I am liable to immediately communicate to CIB Bank Ltd. any change in my Tax Residence and in the answers to the above questions, and to provide any integrative information that could be requested due to the change. I acknowledge that CIB Bank Ltd. excludes responsibility for any adverse legal consequences that may be applied by the US Tax Authority regarding this self-certification or the integrative information, or the lack of these, or the deficiency of these.

Date: Place of document printed, dd/mm/yyy.

.....

Client's signature

.....

Signature of the Guardian

CRS SELF-CERTIFICATION FOR INDIVIDUALS

I – GENERAL INFORMATION

Hungary - in line with its obligation to harmonise its taxation rules - amended Act XXXVII of 2013 on certain rules of international public administration cooperation related to taxes and other public dues (Aktv.) and promulgated the multilateral Agreement between the relevant authorities on the automatic exchange of information related to financial accounts (hereinafter the above rules collectively referred to as: the CRS regulation), in order that the signatory countries may share between them information otherwise classed as bank or securities secrets. The main purpose of the CRS regulation is to ensure automatic exchange of information to increase the effectiveness of fighting cross-border tax fraud and tax evasion. Pursuant to the CRS regulation, Hungarian financial institutions must comply with the data provision and due diligence regulations contained in the CRS regulation and must determine the residence for tax purposes of their customers (tax residence test). This declaration serves the purpose stated above. The data is provided to the National Tax and Customs Administration, which forwards the data to the relevant (tax) authority (authorities) affected by the CRS regulation.
The data provision and due diligence apply to persons who are considered Reportable Persons pursuant to the CRS regulation and are the owners of an account that falls within the scope of the CRS regulation.
Issuing the CRS self-certification and submitting the requested documents is obligatory pursuant to the CRS regulation. A declaration that has been issued can be modified at any time.
For further information on the definitions related to the CRS regulation, please visit our website at www.cib.hu/crs or any of our branches.

II - CLIENT PERSONAL DATA AND TAX RESIDENCY DECLARATION

Surname:	
First name:	
Citizenship:	
Date of Birth:	
Additional citizenships:	
Country of residence:	
Type and number of document certifying residence	

I declare that

I am the Tax resident of the following country:	
Type, number and expiry date of my tax residency document	

CIB BANK
Intesa Sanpaolo Group

Client ID:	
Name:	
Contract ID:	
Date of printing:	
Page no. / client ID:	
Print ID:	

I have a tax number in the country of tax residency ☐ Yes ☐ No

My tax number in the country of tax residency*
(if yes) ……..……..

If no answer, please explain the reason for the absence of a tax number

C) The country of tax residency does not issue a tax number ☐

D) The country of tax residency issues a tax identification number on request. I declare that I have not requested a tax number. ☐

I am the Tax resident of the following additional country: _____

Type, number and expiry date of my additional tax residency document _____

I have a tax number in the country of additional tax residency ☐ Yes ☐ No

My tax number in the country of additional tax residency*
(if yes) ……..……..

If no answer, please explain the reason for the absence of a tax number

A) The country of additional tax residency does not issue a tax number ☐

B) The country of additional tax residency issues a tax identification number on request. I declare that I have not requested a tax number. ☐

** to be completed only if the country of additional tax residence is not Hungary*

Hereby undertake to report immediately to CIB Bank Zrt. any change in my residency for tax purposes or in any data that has been provided in this declaration, and to provide any supplementary information that may be needed due to such a change. I understand that CIB Bank Zrt. excludes any liability for any detrimental legal consequence that is imposed by the tax authority of the country that is competent under the CRS rules, in relation to this declaration and supplementary information or the lack or deficiency thereof.

Date: place of document printed, dd/mm/yyyy.

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Client's signature

……

Signature of the Guardian

Information on data management

Who manages your personal data?	The data manager is CIB Bank Zrt. (1024 Budapest, Petrezselyem utca 2–8.H-1995 Budapest, Telephone number: (06 1) 423 1000 Fax: (06 1) 489 6500)
For what purpose and on what legal basis will your personal data be managed?	The legal grounds for data processing is the FATCA Act for FATCA Declarations and the CRS Act for CRS Declarations, both of which specify the purpose of data management as one of the legal obligations stipulated under Article 6, para. (1) of REGULATION (EU) 2016/679 (GDPR) (FATCA : fulfillment of an obligation to report data to the Government of the USA, CRS: fulfillment of an obligation to report data to the Hungarian tax authority). The managed data may be accessed by third parties, typically by Hungarian and US tax authorities, in compliance with the provisions of the FATCA Act and the CRS Act,

respectively, and in both cases in compliance with Act CCXXXVII of 2013 (Credit Institutions Act) and Act CXXXVIII of 2007 (Investment Services Act).

For what period will your personal data be managed?

In respect of both data management options, CIB Bank Ltd. shall retain the personal data until the end of the certificate retention period as defined in Act CL of 2017 on the Rules of Taxation (currently 5 years).

Who are the recipients of your personal data?

Recipients of the personal data are the data controller's agents and data processors. The latest list of the agents and data processors, the tasks performed by them, as well as further details of the circumstances of data management are included in the information notice available on the www.cib.hu website and in the branches of CIB Bank Ltd. The data manager is obliged to transfer the data to the entities and authorities specified in the relevant statutory regulation.

How do we manage your personal data (Data security)?

The data manager(s) and the members of the CIB Bank Group that receive data shall provide for the security of the data, and thus especially they shall ensure the prevention of any unauthorised access, alteration, forwarding, publication, deletion or destruction, as well as of any accidental damage or destruction thereof, or any inaccessibility thereto arising from a change in the applied technology.

What rights do you have?

Pursuant to Regulation (EU) 2016/679 of the European Parliament and the Council on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation), you

(i) have the right to request access to personal data relating to you, requesting information on the data managed by CIB Bank Zrt. Provision of information or of copies of the managed data is free of charge. If you request additional copies, you may be charged a fee of reasonable extent, based on the administrative cost,

(ii) have the right to request the rectification or the deletion of personal data relating to you or the restriction of its processing,

(iii) may request from CIB Bank Ltd. information on the recipients who have been informed on the rectification or erasure of data or restriction of processing.

How can your personal data be transferred within the Bank Group?

CIB Bank Ltd. and the financial institution, payments institution, institution issuing electronic money, investment firm, insurance company, AIFM and UCITS fund manager operating under its controlling influence, can mutually access the customers' personal data and data classified as banking, securities, payments, insurance or business secrets managed by them, in the context of the performance of their business activities and to the extent necessary for providing their services, and may, in accordance with the general contractual terms of the data managers involved in the joint data management, transfer to each other such data for the purpose of providing access to individual services, and may manage the data obtained in the above manner during the term of the establishment and maintenance of the customer relationship, and during this process they are entitled to contact each other's customers.
With regard to CIB Bank Ltd. and other businesses operating under its controlling influence, the data described above can be accessed and managed in the case of businesses,
(i) that are engaged in activities related to
a) improving customers' access to cashless digital payment services,
b) supporting the business management of customers not classified as consumers by providing them with digital solutions,
c) increasing customers' financial awareness and knowledge of digital financial solutions
and
(ii) data management is linked to the development of customer relations related to these activities.
The latest list of businesses under the controlling influence of CIB Bank Ltd. is included in the information notice available at www.cib.hu and at the branches of CIB Bank Ltd.

The customer may, based on an express declaration, restrict or prohibit the data transfer described above at any time. Such declaration can be made at the branches of CIB Bank Ltd., or via the CIB24 telephone customer service calling the (+36 1) 4 242 242 telephone number.

What options are available to you for legal recourse?	Regarding the lawfulness of data management, you can initiate a procedure with the National Authority for Data Protection and Freedom of Information (1055 Budapest, Falk Miksa u. 9-11, postal address: 1363 Budapest, Pf. 9, website: www.naih.hu, tel.: +36 (1) 391-1400, fax: +36 (1) 391-1410, central e-mail address: ugyfelszolgalat@naih.hu) or you may take the matter to court. We recommend that you contact our data protection officer before turning to the National Authority for Data Protection and Freedom of Information or to a court of law.
Who is the data protection officer and how to contact him/her?	Contact details for CIB Bank Ltd.'s data protection officer: CIB Bank Zrt./Adatvédelem, 1024 Budapest, Petrezselyem utca 2–8.., telephone: +36 (1) 423-1112, central e-mail address: adatvedelem@cib.hu

Declaration

Confirmation of receipt of information on data management	I represent that prior to the start of the data management, I received clear and detailed information regarding the management of my personal data, which I have understood and accepted.

Date: place of document printed, dd/mm/yyyy.

.....

Client's signature

.....

Signature of the Guardian